

# FREE ALGEBRAS AND DECIDABILITY IN ALGEBRAIC LOGIC

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Summary of Chapters II. and III.

We use the notation of [HMT] and [NFr]. Difference:  $\varphi(u,v)$  denotes here  $\varphi(u,v)$  introduced on p.23 of [NFr].

SUMMARY OF CHAPTER II.

MAIN THEOREM 1  $\mathfrak{F}_{\mathbb{N}} CA_3$  is not atomic.

DEFINITION 6 (i) Let  $\{u,v,w\}=\{x,y,z\}$  and  $i,j \in 2^{\mathbb{N}}$ ,  $k \in 2$ . Then

$u_{\langle \rangle} = v_{\langle \rangle} \stackrel{df}{\iff} u=v$ . In the following, instead of

$u_i = v_{\langle \rangle}$  and  $u_{\langle \rangle} = v_j$  we shall simply write  $u_i = v$  and  $u = v_j$  resp.

$u_k = v \stackrel{df}{\iff} v = u_k \stackrel{df}{\iff} p_k(u,v)$

$u_{ik} = v \stackrel{df}{\iff} v = u_{ik} \stackrel{df}{\iff} \exists w (u_i = w, w_k = v)$  if  $i \neq \langle \rangle$ ,

$u_i = v_j \stackrel{df}{\iff} \exists w (u_i = w, v_j = w)$  if  $i \neq \langle \rangle \neq j$ .

$x_i = x_j \stackrel{df}{\iff} \exists y (x = y, x_i = y_j)$  ,

$y_i = y_j \stackrel{df}{\iff} \exists x (x = y, x_i = y_j)$  ,

$z_i = z_j \stackrel{df}{\iff} \exists xy (x = y, y = z, x_i = y_j)$  .

By the above, the formula " $u_i = v_j$ " has been defined for all  $i, j \in 2^{\mathbb{N}}$  and  $u, v \in \{x, y, z\}$ .

(ii)  $Ax' \stackrel{d}{=} \{ (u_i = v_j, v_j = w_k \rightarrow u_i = w_k), (u_i = u_i, v_j = v_j \rightarrow \exists w (w_0 = u_i, w_1 = v_j)) : \{u, v, w\} = \{x, y, z\}, i, j \in 2^{\mathbb{N}}, |i|, |j|, |k| \leq 3 \}$  .

Let us note that  $Ax' \subseteq Fm_3$  is a finite set of formulas.

$Ax \stackrel{d}{=} \forall xyz \wedge Ax'$ . Thus  $Ax \in Fm_3^{\wedge, 0}$  . ■

REMARK 7 (i) " $u_i = u_i$ " means intuitively that " $u_i$  is defined". (ii)  $Ax$  is a formulation of  $\pi$ , i.e.  $\vdash \pi \leftrightarrow Ax$ . But as we shall see later,  $\vdash_3 \pi \leftrightarrow Ax$ , in particular  $\vdash_3 \pi \rightarrow Ax$  while  $\vdash_3 Ax \rightarrow \pi$  . ■

**DEFINITION 8** Let  $\varphi, \psi \in \text{Fm}_3^{\Lambda, 1}$ .

(i)  $\varphi u_i \stackrel{\text{df}}{\iff} \exists x(x=u_i, \varphi)$  if  $u \in \{y, z\}$  and  $i \in 2^{\aleph}$ , and  
 $\text{pair}(x) \stackrel{\text{d}}{=} \exists y p_0((x, y)) \wedge \exists y p_1((x, y))$ .

(ii)  $\varphi \circ \psi \stackrel{\text{df}}{\iff} \exists y(\varphi y_0, \psi y_1, x_0=y_{00}, y_{01}=y_{10}, y_{11}=x_1)$ ,

$\varphi^\cup \stackrel{\text{df}}{\iff} \exists y(\varphi y, y_0=x_1, y_1=x_0)$ ,

$\varepsilon \stackrel{\text{df}}{\iff} x_0=x_1$ ,  $\dot{i} \stackrel{\text{df}}{\iff} \text{pair}(x)$ ,  $\dot{o} \stackrel{\text{df}}{\iff} \mathbb{F}$ ,

$\dot{=} \varphi \stackrel{\text{df}}{\iff} \text{pair}(x) \wedge \neg \varphi$ ,  $\varphi + \psi \stackrel{\text{df}}{\iff} \varphi \vee \psi$ ,  $\varphi \cdot \psi \stackrel{\text{df}}{\iff} \varphi \wedge \psi$ .

(iii)  $\text{Ev}^\Lambda \stackrel{\text{d}}{=} \text{Ev} \stackrel{\text{d}}{=} \{\varphi \in \text{Fm}_3^{\Lambda, 1} : \text{Ax} \vdash_3 \varphi \leftrightarrow \varepsilon \circ \varphi\}$ ,  $\mathcal{E}_\mathcal{N}^\Lambda \in \text{RTA}$ ,

$\mathcal{E}_\mathcal{N}^\Lambda \stackrel{\text{d}}{=} \mathcal{E}_\mathcal{N} \stackrel{\text{d}}{=} \langle \text{Ev}, +, \cdot, \dot{=}, \dot{o}, \dot{i}, \circ, \cup, \varepsilon \rangle$ . ■

**THEOREM 9** (i)  $\mathcal{E}_\mathcal{N}$  is an algebra, i.e. the set Ev is closed under the operations  $\circ, \cup, \varepsilon$  etc.; and  $\equiv_{\text{Ax}}$  is a congruence on  $\mathcal{E}_\mathcal{N}$ .

Further,  $\text{Ev} \supseteq \{\varphi \circ \psi : \varphi, \psi \in \text{Fm}_3^{\Lambda, 1}\}$ .

(ii)  $\mathcal{E}_\mathcal{N} / \equiv_{\text{Ax}} \in \text{QRA}$ . ■

**REMARK 10** (i) We used Ax instead of  $\pi$  because  $\mathcal{E}_\mathcal{N} / \equiv_\pi \notin \text{RA}$ . (ii) Remarks on why we used  $\text{Ev} \subset \text{Fm}_3^{\Lambda, 1}$  instead of  $\text{Fm}_3^{\Lambda, 1}$ . ■

From now on, we allow only binary relation symbols in our languages  $\Lambda$ ,  $\mathcal{R}$  denotes the set of relation symbols of  $\Lambda$ , and a recursive function  $\mathfrak{g} : \text{Fm}_\omega^2 \rightarrow \text{RAT}$  is fixed such that  $\mathfrak{g}$  satisfies (i), (ii) below (such a  $\mathfrak{g}$  exists by Lemma 3 in Chapter II.1.). Thus from now on, in the definitions  $p_0, p_1, \mathfrak{g}$  are parameters that we do not indicate explicitly.

(i)  $\mathfrak{g}(R(x, y)) = R$ ,  $\mathfrak{g}(\neg \varphi) = -\mathfrak{g}(\varphi)$ ,  $\mathfrak{g}(\varphi \wedge \psi) = (\mathfrak{g}\varphi) \cdot (\mathfrak{g}\psi)$ ,  $\mathfrak{g}(\varphi \vee \psi) = (\mathfrak{g}\varphi) + (\mathfrak{g}\psi)$  for all relation symbols R and formulas  $\varphi, \psi$ .

(ii)  $\mathfrak{g}$  preserves meaning, i.e. let  $\mathcal{M}$  be a model,  $a, b \in M$ ,  $\varphi \in \text{Fm}_\omega^2$  and assume that either  $\mathcal{M} \models \pi$  or  $\varphi \in \text{Fm}_3^2$ . Then

$$\mathcal{M} \models \varphi[a, b] \iff (a, b) \in (\mathfrak{g}\varphi)^{\mathcal{R}(\mathcal{M})} (\langle R^{\mathcal{M}} : R \in \mathcal{R} \rangle).$$

**DEFINITION 11** (i) Let  $\varphi \in \text{Fm}_\omega$  be arbitrary. Then

$$\varphi(x_0, x_1) \stackrel{d}{=} \exists yz (z=x_0, y=x_1, \varphi(z, y)).$$

(ii) The function  $h : \text{RAT} \rightarrow \text{Fm}_3^1$  is defined as follows:

$$h(R) \stackrel{d}{=} R(x_0, x_1) \circ \varepsilon \quad \text{for all } R \in \mathcal{R}, \quad \text{and}$$

$h : \text{RAT} \rightarrow \mathcal{E}\mathcal{R}$  is a homomorphism, i.e.

$$h(\tau; \sigma) \stackrel{d}{=} h(\tau) \circ h(\sigma), \quad h(\tau^\cup) \stackrel{d}{=} h(\tau)^\cup, \quad h(1') \stackrel{d}{=} \varepsilon,$$

$$h(-\tau) \stackrel{d}{=} \text{pair}(x) \wedge \neg h(\tau), \quad h(\tau \cdot \sigma) \stackrel{d}{=} h(\tau) \wedge h(\sigma), \quad h(\tau + \sigma) \stackrel{d}{=} h(\tau) \vee h(\sigma),$$

$$h(1) \stackrel{d}{=} \text{pair}(x), \quad h(0) \stackrel{d}{=} \mathbf{F}.$$

(iii) The functions  $\kappa, \bar{\kappa}, \kappa' : \text{Fm}_\omega^2 \rightarrow \text{Fm}_3^1$  are defined as follows:

$$\bar{\kappa}\varphi \stackrel{d}{=} \forall x (\text{pair}(x) \rightarrow \kappa'\varphi), \quad \kappa'\varphi \stackrel{d}{=} h\mathbf{g}\varphi,$$

$$\kappa\varphi \stackrel{d}{=} \forall x ([Ax^{\mathbf{x}} \wedge \text{pair}(x)] \rightarrow \kappa'\varphi), \quad \text{where } Ax^{\mathbf{x}} \stackrel{d}{=} Ax \wedge \bar{\kappa}\pi. \quad \blacksquare$$

**THEOREM 12** (solution of a problem of [TG], p.3.78)

(i)  $\pi \vdash \varphi \iff \pi \vdash_3 \kappa\varphi \iff \vdash_3 \kappa\varphi$ , for all  $\varphi \in \text{Fm}_\omega^2$ .

(ii)  $\pi \vdash \varphi \iff \kappa\varphi$ , for all  $\varphi \in \text{Fm}_\omega^0$ .  $\blacksquare$

**REMARK 13** Discussion of Thm.12 will follow.  $\blacksquare$

**THEOREM 14** (solution of Problem 4.14 of [HMT]) Let  $1 \leq \beta \leq \omega$  and

$3 \leq \alpha < \omega$ . Then  $\mathfrak{F}_{\beta}^{\mathfrak{A}} \text{CA}_\alpha$  is not atomic, further,  $\mathfrak{D} \mathfrak{F}_{\beta}^{\mathfrak{A}} \text{CA}_\alpha$  is not atomic either.  $\blacksquare$

**THEOREM 15** (discussion of Thm.9.)

(i)  $\pi \not\vdash_3 Ax$  for some  $p_0, p_1 \in \text{Fm}_3^2$ ; moreover

(ii)  $\mathcal{E}\mathcal{R} / \equiv_\pi \notin \text{RA}$ , in particular

$$\pi \not\vdash_3 (\varphi \circ \psi) \circ \gamma \iff \varphi \circ (\psi \circ \gamma) \quad \text{for some } \varphi, \psi, \gamma \in \text{Ev} \text{ and } p_0, p_1 \in \text{Fm}_3^2.$$

(iii)  $\pi \not\vdash \varphi \circ \varepsilon \rightarrow \varphi$ ,  $\pi \not\vdash \varphi^{\cup\cup} \rightarrow \varphi$  for some  $\varphi \in \text{Fm}_3^1$  and  $p_0, p_1 \in \text{Fm}_3^2$ ,

where in addition  $\varphi$  is of form  $\text{pair}(x) \wedge \psi$ ; and

$$\pi \not\vdash_3 \varphi(x_0, x_1) \circ \varepsilon \rightarrow \varphi(x_0, x_1) \quad \text{for some } p_0, p_1, \varphi \in \text{Fm}_3^2.$$

(iv)  $\bar{A}x \not\vdash_3 (\varphi; \psi); \gamma \iff \varphi; (\psi; \gamma)$  for some  $\varphi, \psi, \gamma \in \text{Fm}_3^2$  and  $p_0, p_1 \in \text{Fm}_3^2$

where  $\varphi; \psi = \exists z (\varphi(x, z) \wedge \psi(z, y))$  is the "usual" composition and

$Ax \subseteq \bar{A}x$  is a strong extension of  $\pi$ , see the next Def.16.  $\blacksquare$

DEFINITION 16 (i)  $\mathcal{K} \stackrel{d}{=} \mathcal{K}^{\#} \text{Fm}_{\omega}^0$ .

(ii) Let  $T \subseteq \text{Fm}_{\omega}^0$ ,  $\varphi \in \text{Fm}_{\omega}^0$ . Then  $T \stackrel{df}{=} \varphi \iff T \cup \{\pi\} \models \varphi$ .

(iii) Let  $H$  be an arbitrary set. We define

$$P_0(H) \stackrel{d}{=} H, \quad P_{n+1}(H) \stackrel{d}{=} P_n(H) \cup (P_n(H) \times P_n(H)), \quad P_{\omega}(H) \stackrel{d}{=} \bigcup \{P_n(H) : n \in \omega\},$$

let  $U \stackrel{d}{=} P_{\omega}(H)$ , then

$$pj_0^H \stackrel{d}{=} \{(a,b) \in {}^2U : (\exists c \in U) a = (b,c)\} \quad \text{and}$$

$$pj_1^H \stackrel{d}{=} \{(a,b) \in {}^2U : (\exists c \in U) a = (c,b)\}, \quad \text{i.e. } pj_0^H \text{ and } pj_1^H \text{ are the}$$

usual<sup>#</sup> set theoretic projection functions on  $P_{\omega}(H)$ .

Let  $h$  denote the similarity type containing two binary relation symbols  $p$  and  $q$ . Then

$$\mathcal{G}(H) \stackrel{d}{=} \langle P_{\omega}(H), pj_0^H, pj_1^H \rangle \in \text{Mod}(h).$$

We call  $\mathcal{G}(H)$  a standard model of projection functions.

(iv) Let  $\overline{\text{Ax}}$  be the "standard theory" of the projection functions  $p, q$ , i.e. let  $\overline{\text{Ax}}$  be the set of all first-order formulas with 2 variables that are true in  $\mathcal{G}(\omega)$ . I.e.

$$\overline{\text{Ax}} \stackrel{d}{=} \{\varphi \in \text{Fm}_3^{\Lambda, 0} : \mathcal{G}(\omega) \models \varphi\}.$$

Let  $p_0, p_1 \in \text{Fm}_3^2$  and  $\varphi \in \text{Fm}_3^{\Lambda, 0}$ . Then  $\varphi(p_0, p_1) \in \text{Fm}_3^0$  denotes the formula we obtain from  $\varphi$  by replacing  $p(x,y), q(x,y)$  in it everywhere with  $p_0, p_1$ . Now

$$\overline{\text{Ax}} \stackrel{d}{=} \overline{\text{Ax}}(p_0, p_1) \stackrel{d}{=} \{\varphi(p_0, p_1) : \varphi \in \overline{\text{Ax}}\}, \quad \overline{\text{Ax}}^{\#} \stackrel{d}{=} \overline{\text{Ax}} \cup \{\overline{\mathcal{K}}\pi\}.$$

Thus  $\overline{\text{Ax}}, \overline{\text{Ax}}^{\#} \in \text{Fm}_3^0$ .

(v)  $\mathcal{K}_H$  denotes the relation algebra generated by  $pj_0^H, pj_1^H$  i.e.

$$\mathcal{K}_H \stackrel{d}{=} \mathcal{C}_{\mathcal{K}}(\mathcal{K}) \{pj_0^H, pj_1^H\}, \quad \text{where } \mathcal{K} = \mathcal{K}(P_{\omega}(H)).$$

(vi) We say that  $\mathcal{U} \in \text{CA}_3$  is strongly quasi-projective, in symbols  $\mathcal{U} \in \overline{\text{QCA}}_3$ , if  $\mathcal{K}_H \mathcal{U}$  contains a subalgebra isomorphic to  $\mathcal{K}_{\omega}$ .

$$\overline{\text{QCA}}_3 \stackrel{d}{=} \{\mathcal{U} \in \text{CA}_3 : \mathcal{K}_{\omega} \in \text{IS}\{\mathcal{K}_H \mathcal{U}\} \text{ and } (\exists e \in \text{Nr}_2 \mathcal{U}) A = \text{Sg}\{e\}\}.$$

<sup>#</sup>We shall use this notation when  $H$  will not contain any pairs.

**THEOREM 17** (discussion of Thm.12)

- (i)  $\pi \models \varphi$  and  $\pi \not\models \bar{K}\varphi$  for some  $\varphi \in \text{Fm}_3^2$  and "good"  $p_0, p_1, \mathcal{E}$ .
- (ii)  $\pi \not\models \varphi \leftrightarrow \kappa\varphi$  for some  $\varphi \in \text{Fm}_3^0$  and "good"  $p_0, p_1, \mathcal{E}$ ; moreover
- (iii)  $\overline{\text{Ax}} \not\models \varphi \rightarrow \kappa\varphi$  for some  $\varphi \in \text{Fm}_3^0$  and "good"  $p_0, p_1, \mathcal{E}$ ; and  
 $\overline{\text{Ax}} \not\models \kappa\varphi \rightarrow \varphi$  for some  $\varphi \in \text{Fm}_3^0$  and "good"  $p_0, p_1, \mathcal{E}$ .
- (iv)  $T \models_{\pi} \varphi \iff T \not\models \varphi$ , for all  $T \subseteq \mathcal{K} \ni \varphi$ .
- (v)  $T \models \varphi \implies T \not\models \varphi$  for some  $\overline{\text{Ax}} \subseteq T \subseteq \text{Fm}_3^0$ ,  $\varphi \in \mathcal{K}^{\#} \text{Fm}_3^0$  and "good"  $p_0, p_1, \mathcal{E}$ .
- (vi)  $T \models_{\pi} \varphi \iff \mathcal{K}^{\#} T \not\models \kappa\varphi$ , for all  $T \subseteq \text{Fm}_{\omega}^0 \ni \varphi$ .
- (vii)  $T \models \varphi \implies T \not\models \kappa\varphi$  and  $T \models \kappa\varphi \implies T \not\models \varphi$ , for some  
 $\overline{\text{Ax}} \subseteq T \subseteq \text{Fm}_3^0 \ni \varphi, \psi$  and "good"  $p_0, p_1, \mathcal{E}$ .
- (viii)  ${}_1\bar{\text{QCA}}_3 \not\subseteq \text{RCA}_3$ . ■

**REMARK 18** (i) It can be proved (similarly to Thm.14) that  $\mathfrak{F}_{\beta} \text{RA}$  and  $\mathfrak{F}_{\beta} \text{SA}$  are not atomic. Moreover,  $\mathfrak{F}_{\beta} \text{K}$  is not atomic if  $\text{K} \subseteq \text{SA}$ ,  $\mathcal{R}(U) \in \text{K}$  for some infinite  $U$  and the equations valid in  $\text{K}$  are recursively enumerable. We do not know whether  $\mathfrak{F}_{\beta} \text{NA}$ ,  $\mathfrak{F}_{\beta} \text{WA}$  or  $\mathfrak{F}_{\beta} \text{Crs}_{\alpha}$  ( $2 < \alpha < \omega$ ,  $0 < \beta < \omega$ ) are atomic or not.

(ii) We can prove  $\bar{\text{QSA}} \not\subseteq \text{RA}$  exactly as  $\bar{\text{QCA}}_3 \not\subseteq \text{RCA}_3$ .

(iii) We sketch a direct proof of Thm.12 that does not use Tarski's representation theorem  $\text{QRA} \subseteq \text{RRA}$ .

(iv) (about a logic  $\mathcal{L}_3$  used in [TG] that is stronger than our  $L_3$ )

(v) There is a recursive  $G : \text{Fm}_{\omega}^0 \rightarrow \text{RAT}$  such that  $[\pi \models \varphi \iff \text{SA} \models G\varphi=1, \text{ for all } \varphi \in \text{Fm}_{\omega}^0]$ . ■

**THEOREM 19** (solution of Problem 2.7 of [HMT]) There is  $b \in \text{Fr}_1 \text{CA}_3$  that generates  $\mathfrak{F}_1 \text{CA}_3$  but not freely. In general,  $\mathfrak{F}_{\beta} \text{CA}_{\alpha}$  has a  $\beta$ -element generating system that does not generate it freely, if  $0 < \beta$  and  $3 \leq \alpha$ . This generating system is irredundant. ■

**REMARK 20** (i) Thm.19 remains true for  $\mathfrak{F}_{\beta} \text{K}$  if  $\text{K}=\text{RA}$  or if  $\mathcal{R}(\omega) \in \text{K} \subseteq \text{SA}$ , but it fails for  $\text{K}=\text{WA}$  or  $\text{K}=\text{NA}$ . (ii) (about the proof of Thm.19) ■

SUMMARY OF CHAPTER III.

EqK denotes the set of equations valid in K.

COROLLARY 1 (Maddux) EqCA<sub>3</sub> is undecidable. ■

DEFINITION 2 Let K be a class of structures (in particular, K may be a class of algebras).

- (i) K is said to be strongly decidable if its similarity type is finite and if there is a recursive function  $f : \omega \rightarrow \omega$  such that
- $(\forall \mathcal{U} \in K)(\forall X \subseteq_{\omega} A)(\exists \mathcal{B} \in K)[X \upharpoonright \mathcal{U} = X \upharpoonright \mathcal{B} \text{ and } |B| \leq f(|X|)]$ , and
  - $\{\mathcal{U} \in K : A \in \omega\}$  is decidable.
- (ii)  $\mathbb{P}K \stackrel{d}{=} \{\mathcal{U} \in K : |A| < \omega\}$ .
- (iii) QeqK denotes the set of quasi-identities valid in K. ■

REMARK 3 Let K be a class of algebras. (i) If K is strongly decidable then EqK is decidable, moreover the set of universal formulas valid in K is decidable. In particular, QeqK is decidable, hence the word-problem for K is solvable. Further, EqK = Eq $\mathbb{P}K$ , moreover  $\mathbb{P}K$  and K cannot be distinguished by universal formulas.

(ii) Connections between decidability of EqK and EqK=Eq $\mathbb{P}K$ .

(iii) CA<sub>2</sub>, RCA<sub>2</sub> and WA, NA are all strongly decidable. ■

Chapter III.1.

DEFINITION 4  $NCA_{\alpha} \stackrel{d}{=} \{\mathcal{U} \in \text{CTA}_{\alpha} : \mathcal{U} \models \{C_0, C_1, C_2, C_3, C_5, C_6, C_7\}\}$ . ■

THEOREM 5 Let  $\alpha \leq \omega$ .

- EqNCA <sub>$\alpha$</sub>  is decidable.
- EqNCA <sub>$\alpha$</sub>  = Eq $\mathbb{P}NCA_{\alpha}$ , if  $\alpha < \omega$ .
- QeqNCA <sub>$\alpha$</sub>   $\neq$  Qeq $\mathbb{P}NCA_{\alpha}$ , if  $\alpha \geq 3$ . Thus NCA <sub>$\alpha$</sub>  is not strongly decidable if  $\alpha \geq 3$ . ■

REMARK 6 (i) The condition  $\alpha < \omega$  cannot be omitted in Thm.5(ii) because EqNCA <sub>$\alpha$</sub>   $\neq$  Eq $\mathbb{P}NCA_{\alpha}$  if  $\alpha \geq \omega$ . We do not know whether the word-problem is solvable for NCA <sub>$\alpha$</sub> ,  $\alpha \geq 3$ . NCA <sub>$\alpha$</sub>  is strongly decidable for  $\alpha < 2$ . Let  $\alpha \geq 3$ . Moreover, if  $i \in \mathbb{N}$  then let

$NCA_{\alpha}^{-1} \stackrel{d}{=} \{ \mathcal{U} \in CTA_{\alpha} : (\forall j \in 8 \sim \{4, i\}) \mathcal{U} \models C_j \}$ . Now, if  $i \in 8$ ,  $i \neq 0$  then  $[NCA_{\alpha}^{-1}$  is strongly decidable iff  $i \in \{2, 3, 7\}]$ .

We give the constructions showing that WA and NA are strongly decidable.

(ii) About the proof of Thm.5. ■

**COROLLARY 7** If we replace (4) in the proof system  $\vdash_{\alpha}$  with the following (4b) - (4d) then we obtain a proof system where the set of provable formulas is decidable. If we replace (4) in  $\vdash_{\alpha}$  with (4a) - (4d) then the set of provable formulas remains unchanged.

$$(4a) \quad \forall v_i \forall v_j \varphi \rightarrow \forall v_j \forall v_i \varphi$$

$$(4b) \quad \forall v_k \varphi \rightarrow \forall v_k \forall v_k \varphi$$

$$(4c) \quad \exists v_k \varphi \rightarrow \forall v_k \exists v_k \varphi$$

$$(4d) \quad R(\bar{x}) \rightarrow \forall v_k R(\bar{x}) \quad \text{if } v_k \notin Rg\bar{x}, \text{ and } R(\bar{x}) \text{ is an atomic formula.} \quad \blacksquare$$

### Chapter III.2.

**THEOREM 8** (recalled without proof) Let  $\alpha$  be arbitrary.

- (i)  $ICrs_{\alpha}$  is a variety, i.e. it is axiomatizable with identities.
- (ii)  $ICrs_{\alpha}$  is not finitely axiomatizable.
- (iii)  $ICrs_{\alpha}$  is not axiomatizable with finitely many schemes, but it is axiomatizable with countably many schemes. ■

**MAIN THEOREM 2**  $EqCrs_{\alpha}$  is decidable for all  $\alpha \leq \omega$ . ■

**DEFINITION 9** Let  $\alpha$  be arbitrary.

$$D_{\alpha} \stackrel{d}{=} \{ \mathcal{U} \in Crs_{\alpha} : (\forall s \in 1^{\mathcal{U}}) (\forall i, j \in \alpha) s(i/s_j) \in 1^{\mathcal{U}} \},$$

$$G_{\alpha} \stackrel{d}{=} \{ \mathcal{U} \in Crs_{\alpha} : (\forall s \in 1^{\mathcal{U}}) \alpha(Rgs) \subseteq 1^{\mathcal{U}} \}.$$

Let  $K \subseteq Crs_{\alpha}$ . We say that  $V$  is a  $K$ -unit if  $\mathcal{U} \in V \in K$ . ■

**THEOREM 10** (i)  $EqG_{\alpha}$  and  $EqCrs_{\alpha}$  are decidable for all  $\alpha \leq \omega$ .

(ii)  $EqD_{\alpha}$  is decidable for  $\alpha < \omega$ .

(iii) If  $\alpha < \omega$  and  $K \subseteq Crs_{\alpha}$  satisfies conditions (a)-(d) below then  $EqK$  is decidable.

- (a) Union of K-units is a K-unit, i.e.  
 $(\forall V \in \mathcal{U}) (\exists V \in K \Rightarrow \mathcal{G}(UV) \in K.$
- (b) Restriction of a K-unit is a K-unit, i.e.  
 $\mathcal{G}V \in K \Rightarrow \mathcal{G}(V \cap {}^\alpha H) \in K.$
- (c) Base-isomorphic image of a K-unit is a K-unit, i.e.  
 $\mathcal{G}V \in K$  and  $f : \text{base}(V) \twoheadrightarrow U \Rightarrow \mathcal{G}\{f \circ s : s \in V\} \in K.$
- (d)  $\emptyset \in K \Rightarrow \mathcal{G}1^\omega \in K. \quad \blacksquare$

**LEMMA 10.10.** Let  $\gamma \subset \alpha$ ,  $2 \leq |\gamma| < \omega$  and  $\tau \in \text{Tm}(\text{cyl}_\gamma)$ .

- (i)  $\text{Rd}_\gamma \text{ICrs}_\alpha = \text{ICrs}_\gamma$  and  
 $\text{Crs}_\alpha \models \tau=1 \iff \text{Crs}_\gamma \models \tau=1.$
- (ii) **HSP**  $\text{Rd}_\gamma \mathcal{G}_\alpha \subset \text{IG}_\gamma$ , but  
 $\mathcal{G}_\alpha \models \tau=1 \iff \mathcal{G}_\gamma \models \tau=1$ , if  $\text{ind}(\tau) \subset \gamma$ .
- (iii) **HSP**  $\text{Rd}_\gamma \mathcal{D}_\alpha \subset \text{ID}_\gamma$ , and for every  $n \leq |\gamma|-2$  there is  $\zeta \in \text{Tm}(\text{cyl}_\gamma)$   
 such that  
 $\mathcal{D}_\alpha \models \zeta=1 \not\Rightarrow \mathcal{D}_\gamma \models \zeta=1$  and  $|\gamma \sim \text{ind}(\zeta)| \geq n$ . Further,  
 $\mathcal{D}_\alpha \models \delta=1 \not\Rightarrow \mathcal{G}_\gamma \models \delta=1$  for some  $\delta \in \text{Tm}(\text{cyl}_\gamma).$   $\blacksquare$

We note that the condition " $\text{ind}(\tau) \subset \gamma$ " cannot be omitted in L.10.10(ii) by the last statement of L.10.10(iii).

**THEOREM 11** (i)  $\text{Eq}\mathcal{G}_\alpha \neq \text{Eq}\mathcal{D}_\alpha$  if  $\alpha \geq 2$ .  
 (ii)  $\text{Eq}\mathcal{D}_\alpha \neq \text{Eq}\mathcal{PD}_\alpha$  if  $\alpha \geq \omega$ .  $\blacksquare$

**REMARK 12** (i) Probably, the following can be proved with the methods of L.10.10:  $\text{ISRd}_\alpha \mathcal{G}_{\alpha+1} = \text{ISRd}_\alpha \mathcal{G}_{\alpha+\beta}$  for all  $\beta \geq 1$ , but  $\text{ISRd}_\alpha \mathcal{D}_{\alpha+n} \neq \text{ISRd}_\alpha \mathcal{D}_{\alpha+n+1}$  for all  $n \in \omega$ .

(ii)  $\text{Crs}_\alpha$  is strongly decidable for  $\alpha \leq 2$ , and probably so are  $\mathcal{G}_\alpha$  and  $\mathcal{D}_\alpha$  (for  $\alpha \leq 2$ ). Let  $\alpha \geq 3$ . We do not know whether  $\text{Eq}\text{Crs}_\alpha = \text{Eq}\mathcal{PCrs}_\alpha$  or whether the word problem is solvable for  $\text{Crs}_\alpha$ . We do not know whether  $\text{Eq}\mathcal{D}_\omega$  is decidable or not.  $\blacksquare$

**DEFINITION 13** We say that  $V$  has the patchwork-property if

$$(\forall s, z \in V)(\forall H \subseteq \alpha) [(H \upharpoonright s) \cup (\alpha \sim H) \upharpoonright z] \in V.$$

$$\mathcal{P}_\alpha^d = \{ \mathcal{U} \in \text{Crs}_\alpha : 1^\omega \text{ has the patchwork-property} \}. \quad \blacksquare$$

- LEMMA 14** (i)  $[ \mathcal{G} \mathcal{B} V \models C_4 \iff \mathcal{G} \mathcal{B} V \in \mathcal{P} P_\alpha ]$ , for any  $\text{Crs}_\alpha$ -unit  $V$ . But  
 $\mathcal{U} \models C_4$  for some  $\mathcal{U} \in \text{Crs}_\alpha \sim \text{HSPP}_\alpha$  if  $\alpha \geq 3$ .
- (ii)  $\text{HSP } P_\alpha = \text{SP } P_\alpha = \mathbf{I} \{ \mathcal{U} \in \text{Crs}_\alpha : \mathcal{U} \text{ is a union of } P_\alpha\text{-units with disjoint bases} \}$ , and  
 $\text{Eq} P_\alpha$  is undecidable (and is not finitely axiomatizable) if  $\alpha \geq 3$ .
- (iii)  $\text{SP}(P_\alpha \cap D_\alpha) = \text{SP } C_{S_\alpha} = \text{RCA}_\alpha$ . ■

**DEFINITION 15**

- (i) Let  $K \in \text{Mod}_t$ . We say that  $K$  is a generalized Kripke-model or that  $K$  is a partial model, in symbols  $K \in \mathcal{K}_t$ , if  
 $(\forall \mathcal{M}, \mathcal{N} \in K) (\mathcal{M} \cap \mathcal{N}) \upharpoonright \mathcal{M} = (\mathcal{M} \cap \mathcal{N}) \upharpoonright \mathcal{N}$ .

We define validity of usual first-order formulas in elements of  $\mathcal{K}_t$  the natural way.  $K \models^k \varphi$  denotes that  $\varphi$  is valid in  $K$ .  
 $\models^k \varphi \stackrel{\text{df}}{\iff} (\forall K \in \mathcal{K}_t) K \models^k \varphi$ .

- (ii) Models with prescribed evaluations of the variables. Let

$$\mathcal{M}_t \stackrel{\text{d}}{=} \{ \langle \mathcal{M}, v \rangle : \mathcal{M} \in \text{Mod}_t \text{ and } v \subseteq {}^\omega M \}.$$

We define validity of the usual first-order formulas in elements of  $\mathcal{M}_t$  the natural way.  $\mathcal{M} \models^m \varphi$  denotes that  $\varphi$  is valid in  $\mathcal{M}$ .

$$\models^m \varphi \stackrel{\text{df}}{\iff} (\forall \mathcal{M} \in \mathcal{M}_t) \mathcal{M} \models^m \varphi. \quad \text{Let}$$

$$\mathcal{P}_t \stackrel{\text{d}}{=} \{ \langle \mathcal{M}, v \rangle \in \mathcal{M}_t : v \text{ is a } P_\alpha \cap D_\alpha \text{-unit} \}.$$

$$\models^p \varphi \stackrel{\text{df}}{\iff} (\forall \mathcal{M} \in \mathcal{P}_t) \mathcal{M} \models^m \varphi.$$

- (iii) We say that  $\varphi$  is relativized, in symbols  $\varphi \in \text{RF}_t$ , if  $\varphi$  is of form  $\mathcal{G} \rightarrow \varphi$  for some atomic formula  $\mathcal{G}$ , where  $\varphi$  is built up from atomic formulas by means of  $\neg, \wedge$  and " $\exists v_i (\mathcal{G} \wedge \dots)$ ", and further every variable occurring in  $\varphi$  occurs in  $\mathcal{G}$ . We say that  $\varphi$  is relativized in the usual sense, in symbols  $\varphi \in \text{SRF}_t$ , if there is an atomic formula  $\mathcal{G}$  such that  $\varphi$  is built up from  $\{ \mathcal{G} \wedge \eta : \eta \text{ is an atomic formula} \}$  by means of  $\wedge, \mathcal{G} \wedge \neg, \mathcal{G} \wedge \exists v_i$ ; and moreover all variables occurring in  $\varphi$  occur in  $\mathcal{G}$ . ■

COROLLARY 16

- (i) It is decidable whether a formula is valid in the generalized Kripke-models, i.e.  
 $\{\varphi \in F_t : \not\models \varphi\}$  is decidable. Similarly,  
 $\{\varphi \in F_t : \models \varphi\}$  is decidable, but the same formulas are valid in the usual and in the "patchwork-models", i.e.  
 $\{\varphi \in F_t : \not\models \varphi\} = \{\varphi \in F_t : \models \varphi\}$  is undecidable.
- (ii) Validity of relativized formulas and satisfiability of formulas relativized in the usual sense is decidable, i.e.  $\{\varphi \in RF_t : \models \varphi\}$  and  $\{\varphi \in SRF_t : \not\models \neg \varphi\}$  are decidable. ■

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