

Meaning, Truth, and the Diffeomorphism Invariance

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Three philosophical premises

- Physicalism:*** everything is physical; all facts supervene on, or are necessitated by, the physical facts.
- Empiricism:*** genuine information about the world can be acquired only by *a posteriori* means.
- Formalism:*** logic and mathematics are thought of as statements about manipulations with meaningless symbols.

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Instead, I will discuss a few radical consequences of them, concerning the fundamental nature of logic and mathematics, and the structure of physical theories.

Program:

- I. Outlines of the **physico-formalist philosophy of mathematics**
- II. Physico-formalist account of **physical theory** – meaning and truth
- III. Discussion of the problem of **diffeomorphism invariance** in GR

Physico-formalist philosophy of mathematics

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(L.E. Szabó, Formal System as Physical Objects: A Physicalist Account of Mathematical Truth, *International Studies in the Philosophy of Science* 17 (2003) 117–125.)

The problem:

If physicalism is true, then the logical/mathematical facts must be necessitated by the physical facts of the world.

The aim of the project is to clarify how logical and mathematical facts can be accommodated in a purely physical ontology.

What are logical/mathematical facts? What is it that has to be accounted for within a physicalist ontology?

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This is the point where
PHYSICO-FORMALIST PHILOSOPHY OF MATHEMATICS
starts!

The question we are asking now is:

Where are the states of affairs located in the ontological picture of the (physical) world that make propositions like “ $\Sigma \vdash A$ ” true or false?

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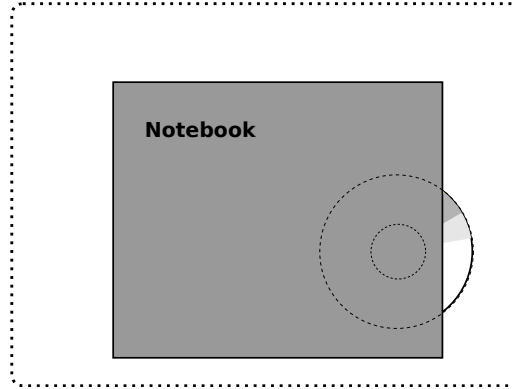
The PHYSICO-FORMALIST thesis: A formal system should be regarded as a physical system which consists of signs and derivational mechanisms embodied in concrete physical objects and concrete physical processes.

Therefore, a “ $\Sigma \vdash A$ ”-type mathematical proposition expresses an *objective fact* of the formal system as a particular portion *of the physical world*.

I argue in three steps.

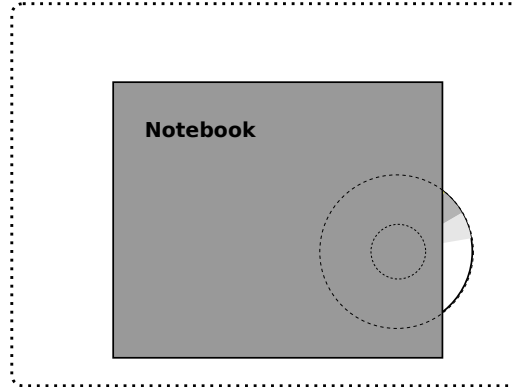
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In this representation, “ $\Sigma \vdash A$ ” (whether formula A is printed) is a fact of the physical reality inside the dotted line!

(Step II) We have access to a formal system only in some concrete physical representation

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[I]n order to think of a formal system at all we must think of it as represented somehow. But when we think of it as formal system we abstract from all properties peculiar to the representation. (Curry 1951)

(Step III) Actually, there is nothing to be “represented”; there is nothing beyond the flesh and blood formal systems.

Abstraction is a motion from one physically existing formal system to another physically existing formal system.

Abstraction does not produce “abstract formal systems” over and above the physically existing “representations”.

(For argumentation: L.E. Szabó, Mathematical facts in a physicalist ontology, *Parallel Processing Letters*, **22** (2012) 1240009)

Consequences

- Any fact about a formal system L – including a fact like “ $\Sigma \vdash A$ ” – is a fact of the physical reality; which is
 - a posteriori
 - not necessary
 - not certain
 - independent from human mind
 - can be discovered, like any other facts of nature (just like a fact about a plastic molecule, or other artifact)

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- Deduction – as it is observation of a fact of a formal system as physical object – is a particular case of inductive generalization (from finite body of empirical observations), as in any other empirical sciences
- The reasoning cannot deliver to us more certainty than experience: *The certainty obtainable from experience is the best of all possible certainties*

Physical theory

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Semantics S is a kind of “correspondence” between (some of) the formulas of L and the states of affairs in U .

We distinguish between the following two facts:

(Fact I) *A is a theorem in L*: $\Sigma \vdash A$

(Fact II) *A is true*: according to the semantics S , A refers to a state of affairs in U , which is in fact the case.

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But, how can the physicalist account for the fact of meaning and truth?

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As we can learn from **Gödel's construction of representation of the meta-arithmetic statements in arithmetic**:

Formula A *represents* (means) a state of affairs a in U , if the following two conditions are met:

- (a) There exist a family $\{A_\lambda\}_\lambda$ of formulas in L and a family $\{a_\lambda\}_\lambda$ of state of affairs in U , such that $A = A_{\lambda_0}$ and $a = a_{\lambda_0}$ for some λ_0 .
- (b) For all λ ,

if a_λ is the case in U then $\Sigma \vdash A_\lambda$
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Formula R *represents* (means) a state of affairs Pr in **Meta-PA**, if the following two conditions are met:

(a) There exist a family $\{R(x, y)\}_{(x, y)}$ of formulas in **PA** and a family $\{Pr(x, y)\}_{(x, y)}$ of state of affairs in **Meta-PA**, such that $R = R(x_0, y_0)$ and $Pr = Pr(x_0, y_0)$ for some (x_0, y_0) .

(b) For all (x, y) ,

if $Pr(x, y)$ is the case in **Meta-PA** then $\Sigma_{PA} \vdash R(x, y)$

if $Pr(x, y)$ is not the case in **Meta-PA** then $\Sigma_{PA} \vdash \neg R(x, y)$

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The two conceptions, **meaning** and **truth**, are completely **inter-twined**.

Consequences

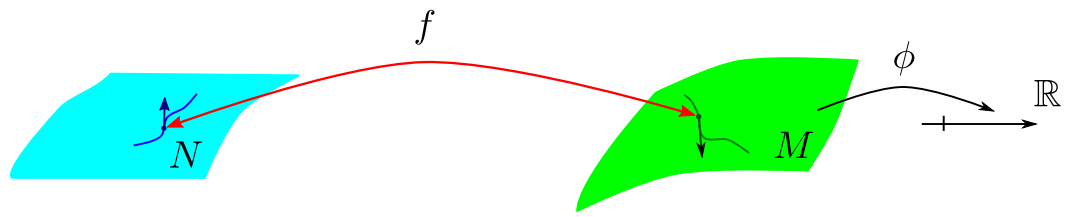
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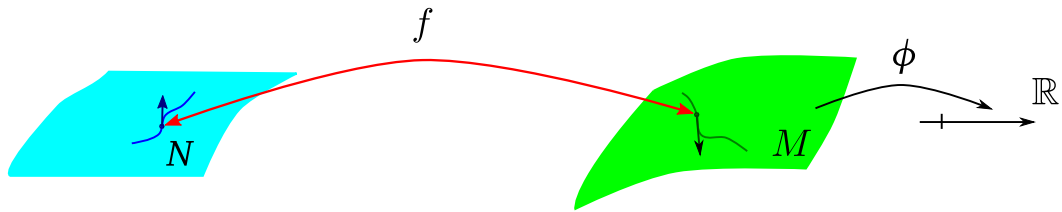
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Condition (b) expresses *correlation between physical facts*. Combining this with the thesis of (1) *the causal closeness of the physical world*, and (2) *the principle of common cause*, one must conclude:

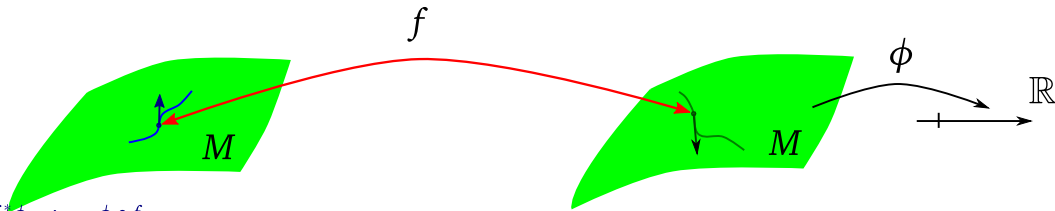
- ***Semantic relationship must be brought about by the underlying causal processes of the physical world.***
- ***The truth of the physical theory (consequently, our knowledge) must be brought about by the underlying causal processes of the physical world. (Empiricism)***

Diffeomorphism invariance





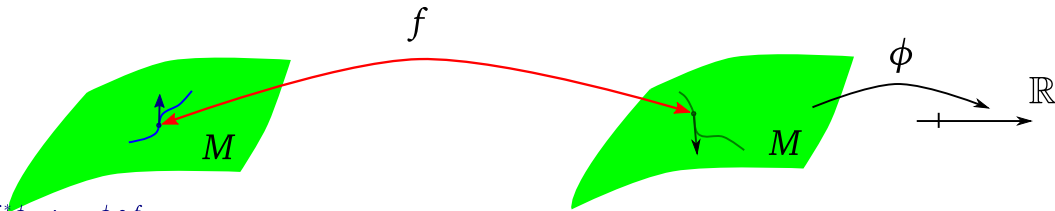
$$\begin{aligned}
 f^* \phi &:= \phi \circ f \\
 (f_* X)(\phi) &:= X(f^* \phi) \\
 f_* \dot{\gamma} &= (f(\dot{\gamma})) \\
 (f^* \omega)(X) &:= \omega(f_* X) \\
 (f^* E^{(2,0)})(X, Y) &:= E^{(2,0)}(f_* X, f_* Y) \\
 \nabla(f^* g)_{\dot{\gamma}} \dot{\gamma} = 0 &\Leftrightarrow \nabla(g)_{f(\dot{\gamma})} f(\dot{\gamma}) = 0 \\
 Ric(f^* g) &= f^* Ric(g) \\
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 &\vdots
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In GR, applying these for the case $N = M$,
the common view is that:

- (A) $\forall f \in Diff(M)$, (M, g) and (M, f^*g) represent the same “physical space-time”.
- (B) $Diff(M)$ constitute the “group” of transformations against which the laws of physics (e.g. the Einstein eq.) must be covariant (General Covariance Principle)
- (C) $Diff(M)$ is a “group” of gauge freedom; that is, the presence of surplus mathematical structure in GR that has no correlate in physical reality.



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My thesis:

- (A) $\forall f \in Diff(M)$, (M, g) and (M, f^*g) **can** represent the same “physical space-time”.
- (B) ~~$Diff(M)$ constitute the “group” of transformations against which the laws of physics (e.g. the Einstein eq.) must be covariant (General Covariance Principle)~~
- (C) ~~$Diff(M)$ is a “group” of gauge freedom; that is, the presence of surplus mathematical structure in GR that has no correlate in physical reality.~~

(M, g)

$\{A_\lambda\}_\lambda \subseteq \{A_i\}_i$

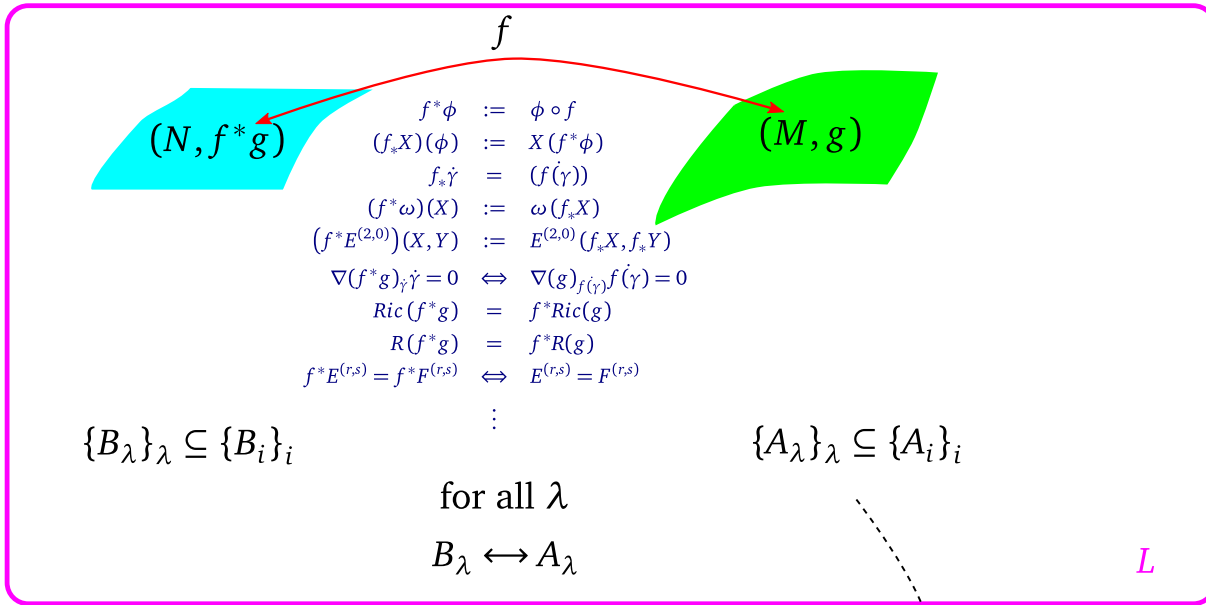
L

$\{a_\lambda\}_\lambda$

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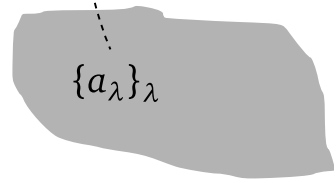
Therefore:

For all λ

if a_λ is the case then $\Sigma \vdash B_\lambda$

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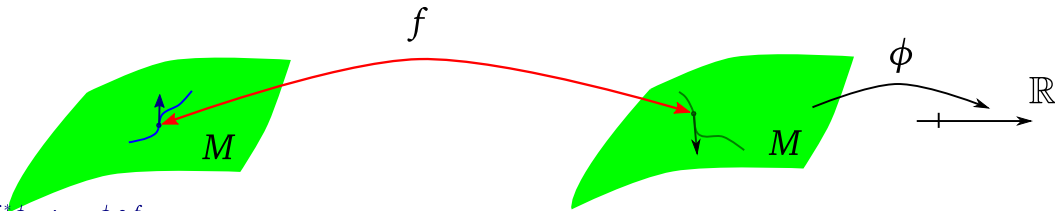
That is, $\{B_\lambda\}_\lambda$ can carry exactly the same meaning as $\{A_\lambda\}_\lambda$.



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The usual claim is based on a fundamental misunderstanding of the covariance principle!

The physical laws must be covariant against the (contingent) **transformation laws of the physical quantities ascertained by the measuring devices moving in various ways.**¹ (In the General Principle they may arbitrarily accelerate, etc.)

- Whether these laws have anything to do with $Diff(M)$, $Diff^*(M)$, $Diff_*(M)$, ... can be known **only by a posteriori means**;
- **prior** to which we should know how the physical quantities in question are **operationally defined.**²

None of them can be known from the trivial MATHEMATICAL fact (A)!

¹M. Gömöri and L. E. Szabó: Formal statement of the special principle of relativity *Synthese* (2013), DOI: 10.1007/s11229-013-0374-1

²L. E. Szabó: Empirical Foundation of Space and Time, in *EPSA07*, Springer 2009.

$\text{Diff}(M) \neq \text{gauge group}$

$Diff(M) \neq$ gauge group

- **Prior** to say that $Diff(M)$ generates surplus non-observable degrees of freedom, we should know what the **observable** quantities are;
- without which to say that the observable quantities are $Diff(M)$ -invariant is a question begging.
- Why just $Diff(M)$?
(A) is a trivial truth! For an arbitrary physical quantity X :

$$X = 2 \text{ iff } X^3 = 8$$

Is that a gauge freedom? Does it mean that no physical quantity can express an observable physical fact?

Einstein equation

Einstein equation

- Einstein equation can be a true physical law even if it does not determine a unique solution by fixing the solution around the Hole or a Cauchy surface.
- Determinism can be true even if the Einstein equation does not provide a complete description of the world.