



Aalto University
School of Electrical
Engineering

Classification of Butson-type Hadamard matrices using an orderly algorithm

Pekka Lampio Ferenc Szöllősi Patric R.J. Östergård

Aalto University

5th Hadamard Workshop, July 10-14, 2017, Budapest

Definition of a Butson-type Hadamard matrix

Definition (*Butson*, 1962)

A **Butson-type Hadamard matrix** \mathbf{H} of order n is an $n \times n$ complex matrix, such that,

1. $\mathbf{H}\bar{\mathbf{H}}^T = n\mathbf{I}_n$,
2. $(\mathbf{H}_{ij})^q = 1$ for all i, j ,

where q is a positive integer.

- ▶ another name: a Butson Hadamard matrix
- ▶ columns (rows) are mutually orthogonal

Example

Below is an example of a **Butson-type Hadamard matrix** of order 6 over complex fourth roots of unity, $\{\pm 1, \pm i\}$, denoted by BH(4,6):

$$\begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & i & -1 & -1 & -i \\ 1 & i & -i & i & -i & -1 \\ 1 & -1 & 1 & -i & -1 & i \\ 1 & -1 & -1 & 1 & i & -i \\ 1 & -i & -1 & -1 & 1 & i \end{bmatrix}$$

Log-Hadamard representation

Definition

A **log-Hadamard matrix** of a Butson-type Hadamard matrix H is any real matrix Φ_H satisfying:

$$H_{jk} = \exp(i[\Phi]_{jk}).$$

The phases $[\Phi]_{jk}$ may be chosen to belong to $[0, 2\pi]$.

Example:

$$H = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & i & -1 & -i \\ 1 & -1 & 1 & -1 \\ 1 & -i & -1 & i \end{bmatrix} \implies \Phi_H = \frac{2\pi}{4} \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 2 & 3 \\ 0 & 2 & 0 & 2 \\ 0 & 3 & 2 & 1 \end{bmatrix}$$

Equivalence of Butson-type Hadamard matrices

These operations produce a Butson-type Hadamard matrix when applied to any Butson-type Hadamard matrix:

1. Permuting the order of rows,
2. Permuting the order of columns,
3. Multiplying a row by a root of unity,
4. Multiplying a column by a root of unity.

Butson-type Hadamard matrices are equivalent if they are essentially the same in the following sense:

Definition

Butson-type Hadamard matrices A and B are **equivalent**, denoted by $A \cong B$, if B can be generated from A by applying Operations 1, 2, 3, and 4.

Problems

1. Classification of Butson-type Hadamard matrices
 - ▶ Find all inequivalent matrices for the given parameters
 - ▶ Determine some basic properties of the matrices, such as, the symmetries of the matrices

Problems

1. Classification of Butson-type Hadamard matrices
 - ▶ Find all inequivalent matrices for the given parameters
 - ▶ Determine some basic properties of the matrices, such as, the symmetries of the matrices

2. Existence of isolated matrices
 - ▶ We know that all Fourier matrices are isolated, are there any other kinds of isolated matrices?
 - ▶ Isolated matrices are interesting

Problems

1. Classification of Butson-type Hadamard matrices
 - ▶ Find all inequivalent matrices for the given parameters
 - ▶ Determine some basic properties of the matrices, such as, the symmetries of the matrices
2. Existence of isolated matrices
 - ▶ We know that all Fourier matrices are isolated, are there any other kinds of isolated matrices?
 - ▶ Isolated matrices are interesting
3. Existence of $p \times p$ Butson-type Hadamard over q th roots of unity where p is prime and p does not divide q
 - ▶ A 7×7 matrix over 6th roots of unity exists, any other such matrices?

Rectangular BH matrices

Definition

A **rectangular BH matrix** \mathbf{H} of order n is an $m \times n$ complex matrix, such that,

1. $1 \leq m \leq n$
2. $\mathbf{H}\mathbf{H}^T = n\mathbf{I}_m$,
3. $(\mathbf{H}_{ij})^q = 1$ for all i, j ,

where q is a positive integer.

Rectangular BH matrices

Definition

A **rectangular BH matrix** \mathbf{H} of order n is an $m \times n$ complex matrix, such that,

1. $1 \leq m \leq n$
2. $\mathbf{H}\mathbf{H}^T = n\mathbf{I}_m$,
3. $(\mathbf{H}_{ij})^q = 1$ for all i, j ,

where q is a positive integer.

- ▶ Rectangular BH matrices are a generalization of Butson-type Hadamard matrices.
- ▶ Equivalence is defined exactly the same way as for Butson-type Hadamard matrices.
- ▶ All Butson-type Hadamard matrices can be constructed by appending rows to rectangular BH matrices.

Computational methods: basic idea

Append rows to a rectangular BH matrix until it is a square matrix.

Example: BH($q=6$, $n=12$):

0	0	0	0	0	0	0	0	0	0	0	0
.
.
.
.
.
.
.
.
.
.
.
.
.
.
.
.
.
.
.
.

Computational methods: basic idea

Append rows to a rectangular BH matrix until it is a square matrix.

Example: BH($q=6$, $n=12$):

0	0	0	0	0	0	0	0	0	0	0	0
0	0	1	3	4	1	4	3	2	3	5	0
.
.
.
.
.
.
.
.
.
.
.
.
.
.
.
.
.
.

Computational methods: basic idea

Append rows to a rectangular BH matrix until it is a square matrix.

Example: BH($q=6$, $n=12$):

0	0	0	0	0	0	0	0	0	0	0	0
0	0	1	3	4	1	4	3	2	3	5	0
0	0	2	0	2	4	4	0	2	4	2	4
.
.
.
.
.
.
.
.
.
.
.
.

Computational methods: basic idea

Append rows to a rectangular BH matrix until it is a square matrix.

Example: BH($q=6$, $n=12$):

0	0	0	0	0	0	0	0	0	0	0	0
0	0	1	3	4	1	4	3	2	3	5	0
0	0	2	0	2	4	4	0	2	4	2	4
0	0	4	0	4	2	2	0	4	2	4	2
0	1	0	3	3	4	1	4	0	5	3	2
0	1	4	3	1	0	3	4	4	1	1	4
0	3	1	0	1	1	1	3	4	4	4	4
0	3	3	0	3	5	5	3	0	2	0	2
0	3	4	3	1	4	1	0	2	3	5	0
0	3	5	0	5	3	3	3	2	0	2	0
0	4	1	3	4	3	0	1	4	1	1	4
0	4	3	3	0	1	4	1	0	5	3	2

Some numbers related to $BH(q=6,n=12)$ matrices

Some numbers related to $BH(q=6,n=12)$ matrices

- ▶ 8703 inequivalent $BH(q=6,n=12)$ matrices,

Some numbers related to $BH(q=6,n=12)$ matrices

- ▶ 8703 inequivalent $BH(q=6,n=12)$ matrices,
- ▶ 461, 683, 233, 537, 839, 796, 286, 862, 284, 208, 209, 920, 000
 $\approx 5 \times 10^{38}$ $BH(q=6,n=12)$ matrices in all,

Some numbers related to BH(q=6,n=12) matrices

- ▶ 8703 inequivalent BH(q=6,n=12) matrices,
- ▶ 461, 683, 233, 537, 839, 796, 286, 862, 284, 208, 209, 920, 000
 $\approx 5 \times 10^{38}$ BH(q=6,n=12) matrices in all,
- ▶ $\approx 6 \times 10^{34}$ BH(q=6,n=12) matrices in an equivalence class on the average

Exhaustive tree search

Exhaustive tree search

- ▶ Find all inequivalent $n \times n$ Butson-type Hadamard matrices over q :th roots of unity.

Exhaustive tree search

- ▶ Find all inequivalent $n \times n$ Butson-type Hadamard matrices over q :th roots of unity.
- ▶ Build matrices one row at a time starting with 2-row matrices. Prune equivalent matrices as they are encountered.

Exhaustive tree search

- ▶ Find all inequivalent $n \times n$ Butson-type Hadamard matrices over q :th roots of unity.
- ▶ Build matrices one row at a time starting with 2-row matrices. Prune equivalent matrices as they are encountered.
- ▶ **Orderly generation** is used for the detection of equivalent matrices.

Ordering matrices

Definition

The binary relation $<_M$ defines a total order on the set of $m \times n$ rectangular BH matrices over q th roots of unity as follows: $A <_M B$ if $\arg(A_{ij}) \leq \arg(B_{ij})$ and $A_{rs} = B_{rs}$ for all r, s with $rn + s < in + j$.

Ordering matrices

Definition

The binary relation $<_M$ defines a total order on the set of $m \times n$ rectangular BH matrices over q th roots of unity as follows: $A <_M B$ if $\arg(A_{ij}) \leq \arg(B_{ij})$ and $A_{rs} = B_{rs}$ for all r, s with $rn + s < in + j$.

Example:

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 2 & 2 \\ 0 & 2 & 0 & 2 \\ 1 & 3 & 3 & 0 \end{bmatrix} <_M \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 2 & 2 \\ 0 & 2 & 1 & 3 \\ 0 & 2 & 3 & 1 \end{bmatrix}$$

Orderly generation

Definition

A rectangular BH matrix A is **canonical** if it is the smallest matrix in its equivalence class, that is, if

$$A \cong B \quad \text{implies} \quad A \leq_M B.$$

Orderly generation

Definition

A rectangular BH matrix A is **canonical** if it is the smallest matrix in its equivalence class, that is, if

$$A \cong B \quad \text{implies} \quad A \leq_M B.$$

- ▶ There is a unique canonical matrix in every equivalence class.

Orderly generation

Definition

A rectangular BH matrix A is **canonical** if it is the smallest matrix in its equivalence class, that is, if

$$A \cong B \quad \text{implies} \quad A \leq_M B.$$

- ▶ There is a unique canonical matrix in every equivalence class.
- ▶ We search for the canonical matrices and ignore all the other matrices.

Orderly generation

Definition

A rectangular BH matrix A is **canonical** if it is the smallest matrix in its equivalence class, that is, if

$$A \cong B \quad \text{implies} \quad A \leq_M B.$$

- ▶ There is a unique canonical matrix in every equivalence class.
- ▶ We search for the canonical matrices and ignore all the other matrices.
- ▶ If $A <_M B$, then $\begin{bmatrix} A \\ X \end{bmatrix} <_M \begin{bmatrix} B \\ Y \end{bmatrix}$.

Orderly generation (cont.)

Theorem

If a matrix A is canonical, then its rows and columns are in ascending order.

Orderly generation (cont.)

Theorem

If a matrix A is canonical, then its rows and columns are in ascending order.

- ▶ Unfortunately the converse of the theorem does not hold.

Orderly generation (cont.)

Theorem

If a matrix A is canonical, then its rows and columns are in ascending order.

- ▶ Unfortunately the converse of the theorem does not hold.
- ▶ We must check that none of the equivalence operations yield a smaller matrix.

Orderly generation (cont.)

Theorem

If a matrix A is canonical, then its rows and columns are in ascending order.

- ▶ Unfortunately the converse of the theorem does not hold.
- ▶ We must check that none of the equivalence operations yield a smaller matrix.
- ▶ The equivalence classes are very large, but luckily there is an efficient algorithm for checking if a matrix is canonical.

Orderly generation (cont.)

Perform the following steps for each row:

1. Check that the new row is orthogonal.

Orderly generation (cont.)

Perform the following steps for each row:

1. Check that the new row is orthogonal.
2. Check that the resulting matrix is canonical.

Orderly generation (cont.)

Perform the following steps for each row:

1. Check that the new row is orthogonal.
2. Check that the resulting matrix is canonical.
3. Check the **second column pruning** condition.

Orderly generation (cont.)

Perform the following steps for each row:

1. Check that the new row is orthogonal.
2. Check that the resulting matrix is canonical.
3. Check the **second column pruning** condition.

These are performed in order 3, 1, 2.

Second column pruning

Theorem

Let H be a canonical $BH(q, n)$ matrix and let S be the $n \times 2$ matrix formed by the first two columns of H . Then the transpose of S is a normalized matrix where the columns are in ascending order.

Second column pruning

Theorem

Let H be a canonical $BH(q, n)$ matrix and let S be the $n \times 2$ matrix formed by the first two columns of H . Then the transpose of S is a normalized matrix where the columns are in ascending order.

- ▶ This theorem allows the detection of those rectangular BH matrices that can not be extended to BH matrix.

Second column pruning

Theorem

Let H be a canonical $BH(q, n)$ matrix and let S be the $n \times 2$ matrix formed by the first two columns of H . Then the transpose of S is a normalized matrix where the columns are in ascending order.

- ▶ This theorem allows the detection of those rectangular BH matrices that can not be extended to BH matrix.
- ▶ Form the set of all normalized $2 \times n$ matrices with columns in ascending order at the beginning of the search.

Second column pruning (cont.)

Example: BH($q=4$, $n=10$):

0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	1	2	2	2	2	3	0	0	0	0	0
0	0	1	2	3	0	1	2	3	2	0	0	0	0	0
0	1	3	3	2	0	2	0	2	1	0	0	0	0	1
.	0	0	0	1	0
.	0	2	0	2	0
.	0	2	0	2	0
.	0	2	0	2	0
.	0	2	0	2	0
.	0	2	0	2	0
.	0	2	0	3	0
.	0	2	0	3	0

Second column pruning (cont.)

Example: BH($q=4$, $n=10$):

0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
0	0	0	0	1	2	2	2	2	3	0	0	0	0	0	
0	0	1	2	3	0	1	2	3	2	0	0	0	0	0	
0	1	3	3	2	0	2	0	2	1	0	0	0	0	1	
0	2	0	2	2	1	0	3	1	3	0	0	0	1	1	
.	0	2	0	2	0	2
.	0	2	0	2	0	2
.	0	2	0	2	0	2
.	0	2	0	2	0	3
.	0	2	0	3	0	3

Second column pruning (cont.)

Example: BH($q=4$, $n=10$):

0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	1	2	2	2	2	3	0	0	0	0	0
0	0	1	2	3	0	1	2	3	2	0	0	0	0	0
0	1	3	3	2	0	2	0	2	1	0	0	0	0	1
0	2	0	2	2	1	0	3	1	3	0	0	0	1	1
0	2	1	3	0	3	3	2	1	1	0	2	0	2	2
0	2	2	0	2	3	1	1	0	3	0	2	0	2	2
0	2	3	1	0	2	1	3	3	1	0	2	0	2	2
0	3	2	2	1	1	3	1	3	0	0	2	0	2	3
.	0	2	0	3	0

Second column pruning (cont.)

Example: BH($q=4$, $n=10$):

0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	1	2	2	2	2	3	0	0	0	0	0
0	0	1	2	3	0	1	2	3	2	0	0	0	0	0
0	1	3	3	2	0	2	0	2	1	0	0	0	0	1
0	2	0	2	2	1	0	3	1	3	0	0	0	1	1
0	2	1	3	0	3	3	2	1	1	0	2	0	2	2
0	2	2	0	2	3	1	1	0	3	0	2	0	2	2
0	2	3	1	0	2	1	3	3	1	0	2	0	2	2
0	3	2	2	1	1	3	1	3	0	0	2	0	2	3
.	0	2	0	3	3

Second column pruning (cont.)

Example: BH($q=4$, $n=10$):

0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
0	0	0	0	1	2	2	2	2	3	0	0	0	0	0	
0	0	1	2	3	0	1	2	3	2	0	0	0	0	0	
0	1	3	3	2	0	2	0	2	1	0	0	0	0	1	
0	2	0	0	0	1	1	
.	0	2	0	2	0	2
.	0	2	0	2	0	2
.	0	2	0	2	0	2
.	0	2	0	2	0	3
.	0	2	0	3	0	3

Size of the search tree for BH($q=4$, $n=14$) matrices

r	Total	With pruning
1	1	1
2	4	4
3	42	42
4	10,141	9,142
5	1,601,560	637,669
6	21,311,746	2,118,948
7	17,175,324	189,721
8	4,234,669	155,777
9	1,675,882	108,598
10	716,604	56,103
11	249,716	17,992
12	62,739	5,558
13	9,776	3,039
14	752	752

Size of the search tree for BH($q=4$, $n=14$) matrices

r	Total	With pruning
1	1	1
2	4	4
3	42	42
4	10,141	9,142
5	1,601,560	637,669
6	21,311,746	2,118,948
7	17,175,324	189,721
8	4,234,669	155,777
9	1,675,882	108,598
10	716,604	56,103
11	249,716	17,992
12	62,739	5,558
13	9,776	3,039
14	752	752

Some preliminary results (part 1)

Classification of $n \times n$ Butson-type Hadamard matrices over q th roots of unity. (“-” means that no 2-row matrices exist)

$n \backslash q$	2	3	4	5	6	7	8	9
2	1	-	1	-	1	-	1	-
3	-	1	-	-	1	-	-	1
4	1	-	2	-	2	-	3	-
5	-	-	-	1	0	-	-	-
6	0	1	1	-	4	-	3	1
7	-	-	-	-	2	1	-	-
8	1	-	15	-	36	-	143	-
9	-	3	-	-	17	-	-	23
10	0	-	10	1	34	-	60	-

Some preliminary results (part 2)

Classification of $n \times n$ Butson-type Hadamard matrices over q th roots of unity. (“-” means that no 2-row matrices exist)

$n \setminus q$	10	11	12	13	14	15	16	17
2	1	-	1	-	1	-	1	-
3	-	-	1	-	-	1	-	-
4	3	-	4	-	4	-	5	-
5	1	-	0	-	-	1	-	-
6	0	-	11	-	0	1	5	-
7	0	-	4	-	1	-	-	-
8	299	-	756	-	1412	0	2807	-
9	1	-	65	-	0	93	-	-
10	51	-	577	-	0	1	310	-

Some preliminary results (part 3)

Classification of $n \times n$ Butson-type Hadamard matrices over q th roots of unity. (“-” means that no 2-row matrices exist)

$n \setminus q$	2	3	4	5	6	7	8	9
11	-	-	-	-	-	-	-	-
12	1	2	319	-	8703	-	53024	8
13	-	-	-	-	436	-	-	-
14	0	-	752	-	167776	3	E	-
15	-	0	-	0	0	-	-	0
16	5	-	1786763	-	E	-	E	-
17	-	-	-	-	0	-	-	-
18	0	85	E	-	E	-	E	E
19	-	-	-	-	E	-	-	-
20	3	-	E	E	E	-	E	-
21	-	72	-	-	E	0	-	E

Some preliminary results (part 4)

Classification of $n \times n$ Butson-type Hadamard matrices over q th roots of unity. (“-” means that no 2-row matrices exist)

$n \setminus q$	10	11	12	13	14	15	16	17
11	0	1	0	-	0	0	-	-
12	293123	-	E	-	E	E	E	-
13	0	-	E	1	?	?	-	-
14	E	-	E	-	E	?	E	-
15	?	-	?	-	?	E	-	-
16	E	-	E	-	E	?	E	-
17	?	-	?	-	?	?	-	1
18	?	-	E	-	?	?	E	-
19	?	-	E	-	?	?	-	-
20	E	-	E	-	E	E	E	-
21	?	-	E	-	0	E	-	-

Status of the work

- ▶ A preprint available at [arXiv:1707.02287](https://arxiv.org/abs/1707.02287)
- ▶ The work continues.
- ▶ Further performance improvements are possible.

Thank you!